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## On constructions of extractable codes

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**Abstract.** This paper deals with the construction problem on extractable codes. The base of a free submonoid of a free monoid is called a code. The code  $C$  with the property that  $z, xzy \in C^*$  implies  $xy \in C^*$  is called an extractable code. For example this kind of code sometimes appears as a certain type of group code; at other times it appears as Petri net codes of type  $D$ . One of the useful methods for constructing extractable codes is a composition of codes. We examine under what conditions on codes  $Y$  and  $Z$  the composition  $Y \circ_\pi Z$  is extractable when  $Y$  and  $Z$  are composable through some bijection  $\pi$ .

**Key words:** code, prefix code, extractable code, composition of codes, minimal set of generators, extractable submonoid, free monoid.

### 1. INTRODUCTION

An extractable submonoid is a free submonoid of a free monoid. It was first mentioned in [5] that the study of extractable submonoids of free monoids was a theme of interest. The extractable code is the base of extractable submonoid. The notion of the extractable code was formally introduced in [6] and [7].

Let  $A$  be an alphabet. We denote by  $A^+$  and  $A^*$  the free semigroup and the free monoid generated by  $A$ , respectively. The empty word is denoted by 1. A word  $v$  is a factor of a word  $u \in A^*$  if there exist  $w, w' \in A^*$  such that  $u = wvw'$ . A word  $v \in A^*$  is a *right factor* (resp. *left factor*) of a word  $u \in A^*$  if there is a word  $w \in A^*$  such that  $u = vw$  (resp.  $u = wv$ ). If  $v$  is a right factor of  $u$ , we write  $v <_s u$ . Similarly, we write  $v <_p u$  if  $v$  is a left factor of  $u$ . The left factor  $v$  of a word  $u$  is said to be *proper* if  $v \neq u$ .

We denote by  $wA^{-1}$  and  $wA^-$  the set of all left factors of  $w$  and the set of all proper left factors of  $w$ , respectively. Let  $X \subset A^*$ , and set  $XA^- = \cup_{w \in X} wA^-$ . Namely, by  $XA^-$  we denote the set of all proper left factors of words in  $X$ . The subset  $XA^{-1}$  of  $A^*$  is defined by  $XA^{-1} = XA^- \cup X$ . We set  $ps(X) = XA^- \cap A^-X$ .

The length  $|w|$  of  $w$  is the number of letters in  $w$ .  $Alph(w)$  is the set of all letters occurring at least once in  $w$ .

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This is an abstract and the details will be published elsewhere.

Two words  $x, y$  are said to be conjugate if there exists words  $u, v$  such that  $x = uv, y = vu$ . For  $x \in A^*$  we set  $Cl(x) = \{y \in A^* \mid y \text{ and } x \text{ are conjugate}\}$ .

Let  $Z$  is a subset of  $A^*$ . For each  $x \in A^*$ , we define the set of all right contexts of  $x$  with respect to  $Z$  by

$$Cont_Z^{(r)}(x) = \{w \in A^* \mid xw \in Z\}.$$

The right principal congruence  $P_Z^{(r)}$  of  $Z$  is defined by  $(x, y) \in P_Z^{(r)}$  if and only if  $Cont_Z^{(r)}(x) = Cont_Z^{(r)}(y)$ . Let  $u \in A^*$ , by  $[u]_Z$  we denote the  $P_Z^{(r)}$ -class of  $u$  by  $[u]_Z$  or simply by  $[u]$ . That is,

$$[u]_Z = \{v \mid Cont_Z^{(r)}(v) = Cont_Z^{(r)}(u), v \in A^*\}.$$

We denote by  $[w_\phi]$  the class of  $P_Z^{(r)}$  consisting of all words  $w \in A^*$  such that  $wA^* \cap Z = \phi$ . Namely,  $[w_\phi]$  is the class of the nonleft factors of words in  $Z$ .

A nonempty subset  $C$  of  $A^+$  is said to be a *code* if for  $x_1, \dots, x_p, y_1, \dots, y_q \in C, p, q \geq 1$ ,

$$x_1 \cdots x_p = y_1 \cdots y_q \implies p = q, x_1 = y_1, \dots, x_p = y_p.$$

A code  $C \subset A^+$  is said to be *infix* if for all  $x, y, z \in A^*$ ,

$$z, xzy \in C \implies x = y = 1.$$

A subset  $M$  of  $A^*$  is a *submonoid* of  $A^*$  if  $M^2 \subseteq M$  and  $1 \in M$ . Every submonoid  $M$  of a free monoid has a unique minimal set of generators  $C = (M - \{1\}) - (M - \{1\})^2$ .  $C$  is called the *base* of  $M$ . A submonoid  $M$  is *right unitary* in  $A^*$  if for all  $u, v \in A^*$ ,

$$u, uv \in M \implies v \in M.$$

$M$  is called *left unitary* in  $A^*$  if it satisfies the dual condition. A submonoid  $M$  is *biunitary* if it is both left and right unitary. Let  $M$  be a submonoid of a free monoid  $A^*$ , and  $C$  its base. If  $CA^+ \cap C = \emptyset$ , (resp.  $A^+C \cap C = \emptyset$ ), then  $C$  is called a *prefix* (resp. *suffix*) code over  $A$ .  $C$  is called a *bifix* code if it is a prefix and suffix code. It is obvious that an infix code is a bifix code. A submonoid  $M$  of  $A^*$  is right unitary (resp. biunitary) if and only if its minimal set of generators is a prefix code (resp. bifix code) (e.g., [1, p.46], [4, p.108]).

Let  $C$  be a nonempty subset of  $A^*$ . If  $|x| = |y|$  for all  $x, y \in C$ , then  $C$  is a bifix code. We call such a code a *uniform code*. The uniform code  $A^n = \{w \in A^* \mid |w| = n\}$ ,  $n \geq 1$ , is called a *full uniform code*.

A submonoid  $M$  of  $A^*$  is *extractable* in  $A^*$  if for all  $x, y, z \in A^*$ ,

$$z, xzy \in M \implies xy \in M^*.$$

If a submonoid  $M$  is extractable, then  $u, 1uv \in M$  implies  $1v = v \in M$ . Similarly  $v, uv \in M$  implies  $u \in M$ . Hence  $M$  is biunitary. Therefore, its minimal set of generators  $C$  is a bifix code.

**Definition 1.** Let  $C \subset A^*$  be a code. If  $C^*$  is extractable in  $A^*$ , then  $C$  is called an *extractable* code.

For the terms used but not explained in this paper, readers refer to [1] or [4].

**Remark 1.** Let  $C \subset A^*$  be a code. The following conditions are equivalent:

- (a)  $z, uzv \in C^* \implies uv \in C^*$ .
- (b)  $z \in C, uzv \in C^* \implies uv \in C^*$ .

**Remark 2.** Let  $C \subset A^*$  be an infix code. The following conditions are equivalent:

- (a)  $z, uzv \in C^* \implies uv \in C^*$ .
- (b)  $z \in C, uzv \in C^2 \implies uv \in C$ .

## 2. COMPOSITION OF CODES RELATED TO EXTRACTABLE CODES

We begin with the constructions of extractable codes by using the concatenation of codes.

Let  $Z \subset A^*$  a code and  $S \subset A$  a nonempty subset. We set

$$H = Z \cap (\cup_{a \in S} aA^*).$$

It is obvious that  $uv \in H, uv' \in Z$  implies  $uv' \in H$ . First we present the following proposition.

**Proposition 1.** Let  $Z \subset A^*$  be an infix extractable code and  $S_i \subset A, 1 \leq i \leq n$ , be nonempty subsets. Let  $H_i = Z \cap (\cup_{a \in S_i} aA^*)$ ,  $1 \leq i \leq n$ . Then  $X = H_1 H_2 \cdots H_n$  is an extractable code. In particular,  $Z^n$  is an extractable code for any  $n \geq 1$ .

**Example 1.** (1). Let  $Z = \{a^3, ab, ba\}$  and  $H = Z \cap aA^* = \{a^3, ab\}$ , then  $X = ZH = \{a^6, a^4b, aba^3, (ab)^2, ba^4, ba^2b\}$  is extractable.

(2). Let  $Z = \{a^3, ba\}$ . Then both  $Z$  and  $Z^2 = \{a^6, a^3ba, ba^4, (ba)^2\}$  are extractable.

**Proposition 2.** Let  $Z \subset A^*$  be an infix code such that for fixed  $m \geq 1$  and for all  $u \in ZA^-, v \in A^*$  and  $c_1, \dots, c_m, d_1, \dots, d_m \in Z$  the equality

$$ud_1 d_2 \cdots d_m = c_1 c_2 \cdots c_m v \quad \text{implies} \quad u = v.$$

Let  $K_r, 1 \leq r \leq mn, n \geq 1$ , be nonempty subsets of  $Z$ . Then  $X = K_1 K_2 \cdots K_{mn}$  is extractable. In particular,  $Z^{mn}$  is an extractable code.

**Example 2.** (1) The code  $Z = \{aba, bab\}$  is not extractable. For  $m = 2$ ,  $Z$  satisfies the condition in Proposition 2. Hence  $Z^{2n}$  is extractable for any  $n \geq 2$ . Note, however, that  $Z^3$  is not extractable, since

$$ab \cdot (aba)(bab)(aba) \cdot b(bab)(bab) = (aba)(bab)(aba)(bab)^3 \in Z^6, \quad ab^2(bab)^2 \notin Z^3.$$

Let  $Z \subset A^*$  and  $Y \subset B^*$  be two codes with  $B = \text{Alph}(Y)$ . Then the codes  $Y$  and  $Z$  are *composable* through  $\pi$ , if there is a bijection  $\pi$  from  $B$  onto  $Z$ . The set  $X = \pi(Y)$  is denoted by

$$X = Y \circ_{\pi} Z \quad \text{or} \quad X = Y \circ Z,$$

when no confusion arises. If both  $Y$  and  $Z$  are prefix (suffix) codes, then  $X = Y \circ Z$  is a prefix (suffix) code ([1, p.73, Prop.6.3]). Therefore, if both  $Y$  and  $Z$  are bifix codes, then  $X$  is a bifix code. We note that we can regard  $Z^n$  in Proposition 1 and Proposition 2 as the composition  $X = B^n \circ_{\pi} Z$  of  $B^n$  and  $Z$  through some bijection  $\pi : B \rightarrow Z$ . The composition of codes depends essentially on the bijection  $\pi$ . For example, let  $Y = \{aab, aba, baa\}$  and  $Z = \{a, ba\}$ , and let  $\pi_1 : a \rightarrow a, b \rightarrow ba, \pi_2 : a \rightarrow ba, b \rightarrow a$ . Then  $Y \circ_{\pi_1} Z$  is extractable, but  $Y \circ_{\pi_2} Z$  is not extractable. Even though both  $Y$  and  $Z$  are extractable, in general the composition of  $Y$  and  $Z$  are not necessarily extractable. In the study of extractable codes it is convenient to have a composition of codes  $Y$  and  $Z$  such that  $Y \circ_{\pi} Z$  is extractable for any bijection  $\pi : B \rightarrow Z$ . Therefore, we examine under what conditions on  $Y$  and  $Z$  the composition  $Y \circ_{\pi} Z$  can be extractable for an arbitrary bijection  $\pi$ .

**Proposition 3.** Let  $Z \subset A^*$  and  $Y \subset B^*$  be two composable codes. If  $X = Y \circ_{\pi} Z$  is extractable, then  $Y$  is extractable.

Let  $Z$  be a bifix code. We define the *internal multiplicity*  $\mu(Z)$  of  $Z$  as follows:  $\mu(Z) = 0$  if  $Z$  is infix,  $\mu(Z) = n$  if  $Z \cap A^+ Z^n A^+ \neq \emptyset$  and  $Z \cap A^+ Z^{n+m} A^+ = \emptyset$  for all  $m \geq 1$ ,  $\mu(Z) = \infty$  if for any  $n \geq 1$  there exists  $m \geq 1$  such that  $Z \cap A^+ Z^{n+m} A^+ \neq \emptyset$ .

Let  $Y$  be a code. Then we set  $m(Y) = \min\{|y| \mid y \in Y\}$ . That is,  $m(Y)$  is the shortest length of elements in  $Y$ .

**Proposition 4.** Let  $Z \subset A^*$  be a bifix code such that  $ps(Z) = \{1\}$ , and let  $Y \subset A^*$  be an extractable code such that  $m(Y) > \mu(Z)$ . If  $Y$  and  $Z$  are composable, then  $X = Y \circ Z$  is an extractable code.

A submonoid  $M$  of  $A^*$  is said to be *pure* if for all  $x \in A^*$  and  $n \geq 1$  the condition  $x^n \in M$  implies  $x \in M$ . A submonoid  $N$  of  $A^*$  is *very pure* if for all  $u, v \in A^*$  the condition  $uv, vu \in N$  implies  $u, v \in N$ .

**Corollary 5.** Let  $Y$  and  $Z$  be composable bifix codes such that  $m(Y) > \mu(Z)$  and  $ps(Z) = \{1\}$ . If  $Y^*$  is an extractable pure (resp. extractable very pure) monoid, then  $X = Y \circ Z$  is an extractable pure (resp. extractable very pure) monoid.

**Definition 1** ([8],[9]). Let  $n \geq 1$  be an integer. A non-empty subset  $Z$  of  $A^*$  is called an *intercode of index  $n$*  if  $Z^{n+1} \cap A^+ Z^n A^+ = \emptyset$ .

By the definition any nonempty subset of an intercode is also an intercode. An intercode of index  $n$  for some  $n \geq 1$  is a bifix code. Let  $Z \subset A^*$  be an intercode of index  $n$ ,  $n \geq 1$ . Then for every  $m$ ,  $m \geq n$ ,  $Z$  is an intercode of index  $m$  ([9]).

**Proposition 6.** Let  $Z \subset A^*$  be an intercode of index  $n$  and let  $Y \subset B^*$  be an extractable code such that  $m(Y) \geq n$ . If  $Y$  and  $Z$  are composable, then  $X = Y \circ Z$  is extractable.

**Example 3 .** Let  $B = \{a_1, \dots, a_n\}$  be an alphabet and  $m$  an integer. For arbitrary  $p_1, \dots, p_n \geq m$ , the code  $Y = \{a_1^{p_1}, \dots, a_n^{p_n}\}$  is extractable. Let  $Z = \{w_1, \dots, w_n\}$  is an intercode of index  $m$ .  $\pi : a_i \rightarrow w_i, i = 1, \dots, n$ , is a bijection. Thus  $X = Y \circ_\pi Z = \{w_1^{p_1}, \dots, w_n^{p_n}\}$  is an extractable code.

A code  $Z \subset A^*$  is *comma-free* if for all  $z \in Z^+$ ,  $u, v \in A^*$ ,  $uzv \in Z^*$  implies  $u, v \in Z^*$  ([1, p.336]). It is shown that a code  $Z$  is comma-free if and only if  $Z$  is an intercode of index 1 ([9]). It is obvious that a comma-free code is extractable.

**Corollary 7.** Let  $Z \subset A^*$  be a comma-free code, and let  $Y$  be an extractable code. If  $Y$  and  $Z$  are composable, then  $X = Y \circ Z$  is extractable.

Therefore, in particular, if both  $Y$  and  $Z$  are comma-free, then  $Y \circ Z$  is extractable. In fact, it is known that  $Y \circ Z$  is comma-free ([1, p.337]).

**Definition 3.** Let  $n$  be an integer. A code  $Z \subset A^*$  is a  $Jn$ -code if for all  $c_i, d_i \in Z, 1 \leq i \leq n$ , and  $u \in ZA^-, v \in A^*$ , the equality

$$ud_1 \cdots d_n = c_1 \cdots c_n v \quad \text{implies} \quad u = v = 1.$$

**Remark 3.** An infix  $Jn$ -code is an intercode of index  $n$ .

**Definition 3.** Let  $n$  be an integer. A code  $Z \subset A^*$  is an  $Jn_a$ -code if for all  $c_i, d_i \in Z, 1 \leq i \leq n$ , and  $u \in ZA^-, v \in A^*$ , the equality  $ud_1 \cdots d_n = c_1 \cdots c_n v$  implies one of the following conditions:

- (1)  $u = v = 1$ ,
- (2)  $u, v \in A^+, d_1 = \cdots = d_n = c_1 = \cdots = c_n$ ,
- (3)  $u, v \in A^+, v \in Z^*(ZA^-), c_1 \neq c_2, d_1 = \cdots = d_n = c_2 = \cdots = c_n$ .

Let  $Z$  be a code. If  $Z$  is not a prefix code, then there exist some  $c, d \in Z$  and  $w \in A^+$  such that  $c = dw \in Z \cap ZA^+$ . Since  $1 \cdot (c \cdots c) = (c \cdots d)w, 1 \notin A^+, w \in A^+$ . Hence the code  $Z$  is not a  $Jn_a$ -code. If  $Z$  is not a suffix code, then  $Z$  is not a  $J2_a$ -code. Hence  $Jn_a$ -code is a bifix code.

**Proposition 8.** Let  $Z \subset A^*$  be an infix  $Jn_a$ -code. Let  $Y \subset B^*$  be an extractable code such that  $m(Y) \geq n$ . If  $Y$  and  $Z$  are composable, then  $X = Y \circ Z$  is extractable.

Let  $C \subset A^*$  be a bifix code such that  $A^+C^nA^+ \cap C^n = \emptyset$  for some  $n \geq 1$ . Then  $\mu(C) \leq n - 1$  and  $A^+C^nA^+ \cap C^m = \emptyset$  for any  $m \leq n$ . However, in general,  $A^+C^nA^+ \cap C^n = \emptyset$  does not imply  $A^+C^nA^+ \cap C^{n+1} = \emptyset$ .

**Proposition 9.** Let  $Z \subset A^*$  be an  $J2_a$ -code such that  $A^+Z^nA^+ \cap Z^n = \emptyset$  for some  $n \geq 2$ , and let  $Y \subset B^*$  be an extractable code such that  $m(Y) \geq n$ . If  $Y$  and  $Z$  are composable, then  $X = Y \circ Z$  is extractable.

**Example 4.** The code  $Y = \{abc, bca, cab\}$  over  $\{a, b, c\}$  is an extractable code such that  $m(Y) = 3$ .  $Z = \{(ab)^2, ba^2b, a^2(ab)^2b^2\}$  is an  $J2_a$ -code such that  $Z^2 \cap A^+Z^2A^+ = \emptyset$ . Since  $(ab)^2, a^2(ab)^2b^2 \in Z$  and  $a^2b^2 \notin Z^*$ ,  $Z$  is not extractable. By Proposition 9

$$X = Y \circ_\pi Z = \{(ab)^2ba^2ba^2(ab)^2b^2, ba^2ba^2(ab)^2b^2(ab)^2, a^2(ab)^2b^2(ab)^2ba^2b\}$$

is an extractable code, where  $\pi : a \rightarrow (ab)^2, b \rightarrow ba^2b, c \rightarrow a^2(ab)^2b^2$ .

**Definition 4.** Let  $n$  be an integer. A code  $Z \subset A^*$  is an  $In$ -code if for all  $c_i, d_i \in Z, 1 \leq i \leq n$ , and  $u \in ZA^-, v \in A^*$ , the equality  $ud_1d_2 \cdots d_n = c_1c_2 \cdots c_nv$  implies the one of the following

- (1)  $u = v = 1$ ,
- (2)  $u, v \in A^+, v \notin Z^*(ZA^-)$ .

Note that any nonempty subset of an  $In$ -code is also an  $In$ -code. Let  $Z$  be an  $In$ -code. Suppose that  $x, xy \in Z^*, y \in Z^+$ . Then  $1 \cdot (xx \cdots xy) = (xx \cdots x) \cdot y$  and  $1 \notin A^+$ . However, this contradicts our hypothesis that  $Z$  is an  $In$ -code. Therefore  $Z$  must be prefix. Now, suppose that  $x, yx \in Z, y \in A^+$ . Then  $y \cdot (xx \cdots x) = ((yx)x \cdots x) \cdot 1$  and  $1 \notin A^+$ . This is a contradiction. Hence  $Z$  is suffix. Thus  $Z$  is a bifix code. Therefore, an  $In$ -code  $Z$  is a bifix code.

**Proposition 10.** Let  $Z \subset A^*$  be an  $I2$ -code such that  $A^+Z^nA^+ \cap Z^n = \emptyset$  for some  $n \geq 2$ . Let  $Y \subset B^*$  be an extractable code with  $m(Y) \geq n$ . If  $Y$  and  $Z$  are composable, then  $X = Y \circ Z$  is extractable.

**Definition 5.** Let  $n$  be an integer with  $n \geq 2$ . A code  $Z \subset A^*$  is an  $In_a$ -code if for all  $u \in ZA^-, v \in A^*$  and  $c_i, d_i \in Z, 1 \leq i \leq n$ , the equality  $ud_1d_2 \cdots d_n = c_1c_2 \cdots c_nv$  implies one of the following conditions:

- (1)  $u = v = 1$ ,
- (2)  $u, v \in A^+, v \notin Z^*(ZA^-)$ ,
- (3)  $u, v \in A^+, d_1 = \cdots = d_n = c_1 = \cdots = c_n$ .

Note that any nonempty subset of an  $In_a$ -code is also an  $In_a$ -code. Let  $Z$  be an  $In_a$ -code. If  $d = cw \in Z \cap ZA^+, d, c \in Z, w \in A^+, 1(c \cdots c)d = (c \cdots c)w$  and  $1 \in ZA^-, w \neq 1$ . This

contradicts the fact that  $Z$  is an  $In_a$ -code. If  $d = wc \in Z \cap A^+Z$ ,  $d, c \in Z$ ,  $w \in A^+$ . This also yields a contradiction. Thus an  $I2_a$ -code is a bifix code.

**Proposition 11.** Let  $Z \subset A^*$  be an infix  $In_a$ -code, and let  $Y \subset B^*$  be an extractable code such that  $m(Y) \geq n$ . If  $Y$  and  $Z$  are composable, then  $X = Y \circ Z$  is extractable.

**Corollary 12.** Let  $A = \{a_1, a_2, \dots, a_m\}$  and  $Z = \{a_1^{p_1}, a_2^{p_2}, \dots, a_m^{p_m}\}$ , where  $p_i$ ,  $1 \leq i \leq m$ , are arbitrary positive integers. Let  $Y$  be an extractable code such that  $m(Y) \geq 2$ . If  $Y$  and  $Z$  are composable, then  $X = Y \circ Z$  is extractable.

**Example 5.**  $Y = \{a^3, ab, ba\}$  is an extractable code.  $Z = \{a, b^2\}$  is an infix  $I2_a$ -code. Define the bijections  $\pi_1 : a \rightarrow a, b \rightarrow b^2$ , and  $\pi_2 : a \rightarrow b^2, b \rightarrow a$ . Then we obtain two extractable codes

$$X_1 = Y \circ_{\pi_1} Z = \{a^3, ab^2, b^2a\} \quad \text{and} \quad X_2 = Y \circ_{\pi_2} Z = \{ab^2, b^2a, b^6\}.$$

**Proposition 13.** Let  $Z \subset A^*$  be an  $I2_a$ -code such that  $A^+Z^nA^+ \cap Z^n = \emptyset$  for some  $n \geq 2$ , and let  $Y \subset B^*$  be an extractable code such that  $m(Y) \geq n$ . If  $Y$  and  $Z$  are composable, then  $X = Y \circ Z$  is extractable.

**Definition 6.** Let  $n$  be an integer with  $n \geq 2$ . A code  $Z \subset A^*$  is an  $In_b$ -code if for all  $u \in ZA^-, v \in A^*$  and  $c_i, d_i \in Z, 1 \leq i \leq n$  the equality  $ud_1d_2 \dots d_n = c_1 \dots c_nv$  implies one of the following conditions:

- (1)  $u = v = 1$ ,
- (2)  $u, v \in A^+, v \notin Z^*(ZA^-)$ ,
- (3)  $u, v \in A^+, v \in Z^*(ZA^-), d_1 = d_2 = \dots = d_n$ .

It is easily shown that an  $In_b$ -code is a bifix code.

**Proposition 14.** Let  $Z \subset A^*$  be an infix  $In_b$ -code, and let  $Y \subset B^*$  be an extractable code such that  $m(Y) \geq n$  and  $b^t \notin Y$  for all  $b \in B$  and  $t \geq 2$ . If  $Y$  and  $Z$  are composable, then  $X = Y \circ Z$  is extractable.

**Lemma 15.** Let  $Y \subset B^*$  and  $Z \subset A^*$  be composable codes, and  $X = Y \circ_{\pi} Z$ .

- (1) If both  $Y$  and  $Z$  are pure, then  $X$  is pure.
- (2) If both  $Y^*$  and  $Z^*$  are very pure, then  $X^*$  is very pure. ([1, p.328, Proposition 1.9])

**Corollary 16.** Let  $Z \subset A^*$  be an infix  $In_b$ -code, and let  $Y \subset B^*$  be an extractable code such that  $m(Y) \geq n$  and  $b^t \notin Y$  for all  $b \in B$  and  $t \geq 2$ .

- (1) If  $Y$  and  $Z$  is composable, and if both  $Y$  and  $Z$  are pure, then  $Y \circ Z$  is an extractable pure



code.

(2) If  $Y$  and  $Z$  is composable, and if both  $Y^*$  and  $Z^*$  are very pure, then  $(Y \circ Z)^*$  is an extractable very pure submonoid of  $A^*$ .

**Example 6.** Let  $A = \{a, b\}$ .  $Y = a^2(aba)^*b \subset A^*$  is an extractable pure code such that  $m(Y) = 3$  and  $c^p \notin Y$  for all  $c \in A$  and  $p \geq 1$ .  $\{ab, ba\}$  is a pure  $I2_b$ -code. Thus, for  $\pi : a \rightarrow ab, b \rightarrow ba$ ,

$$X = Y \circ_\pi Z = (ab)^2(ab^2a^2b)^*ba$$

is an extractable pure code.

**Proposition 17.** Let  $A$  be an alphabet, and let  $K_i$ ,  $1 \leq i \leq n$ , be nonempty subsets of  $A$ . Then  $X = K_1K_2 \cdots K_n$  is an extractable code.

**Proposition 18.** Let  $Z$  be a code, and let  $H_i$ ,  $1 \leq i \leq m$ , be nonempty subsets of  $Z$ . Furthermore, let  $H = H_1H_2 \cdots H_m$ .

- (1) If  $Z$  is an intercode of index  $n$ , and if  $m \geq n$ , then  $H$  is an extractable code. In particular, the code  $Z^m$  is extractable.
- (2) If  $Z$  is a  $J2_a$ -code such that  $Z^n \cap A^+Z^nA^+ = \emptyset$ , and if  $m \geq n$ , then  $H$  is an extractable code.
- (3) If  $Z$  is an infix  $Jn_a$ -code such that  $m \geq n$ , then  $H$  is an extractable code.
- (4) If  $Z$  is an  $I2$ -code such that  $Z^n \cap A^+Z^nA^+ = \emptyset$ , and if  $m \geq n$ , then  $H$  is an extractable code.
- (5) If  $Z$  is an infix  $In_a$ -code such that  $m \geq n$ , then  $H$  is an extractable code.
- (6) If  $Z$  is an  $I2_a$ -code such that  $Z^n \cap A^+Z^nA^+ = \emptyset$ , and if  $m \geq n$ , then  $H$  is an extractable code.
- (7) If  $Z$  is an infix  $In_b$ -code such that  $m \geq n$ , and if  $\cap_{i=1}^n H_i = \emptyset$ , then  $H$  is an extractable code.

Now, we examine the initial literal shuffles of codes related to extractable codes.

**Definition 7** ([2]). Let  $x, y \in A^*$ . Then the *initial literal shuffle*  $x \bullet y$  of  $x$  and  $y$  is defined as follows:

- (1) If either  $x = 1$  or  $y = 1$ , then  $x \bullet y = xy$ .
- (2) Let  $x = a_1a_2 \cdots a_m$  and let  $y = b_1b_2 \cdots b_n$ ,  $a_i, b_j \in A$ . Then

$$x \bullet y = \begin{cases} a_1b_1a_2b_2 \cdots a_nb_na_{n+1}a_{n+2} \cdots a_m & \text{if } m \geq n, \\ a_1b_1a_2b_2 \cdots a_mb_mb_{m+1}b_{m+2} \cdots b_n & \text{if } m < n. \end{cases}$$

For two subsets  $C_1$  and  $C_2$  we set  $C_1 \bullet C_2 = \{c_1 \bullet c_2 \mid c_1 \in C_1, c_2 \in C_2\}$ .

For fundamental properties of initial literal shuffles of codes, refer to [3] and [6].

**Proposition 19** ([6]). Let  $C \subset A^n$ . Then  $C$  is extractable if and only if  $C \bullet C$  is extractable.

**Definition 8.** Let  $Z$  be a code and  $x, y \in Z^*$ . Then the word  $x \bullet_Z y$  is defined as follows:

- (1) If either  $x = 1$  or  $y = 1$ , then  $x \bullet_Z y = xy$ .

(2) Let  $x = a_1 a_2 \cdots a_m$ , and let  $y = b_1 b_2 \cdots b_n$ ,  $a_i, b_j \in Z$  ( $1 \leq i \leq m$ ,  $1 \leq j \leq n$ ). Then

$$x \bullet_Z y = \begin{cases} a_1 b_1 a_2 b_2 \cdots a_n b_n a_{n+1} a_{n+2} \cdots a_m & \text{if } m \geq n, \\ a_1 b_1 a_2 b_2 \cdots a_m b_m b_{m+1} b_{m+2} \cdots b_n & \text{if } m < n. \end{cases}$$

For two subsets  $C_1 \subset Z^*$  and  $C_2 \subset Z^*$  we set  $C_1 \bullet_Z C_2 = \{c_1 \bullet_Z c_2 \mid c_1 \in C_1, c_2 \in C_2\}$ .

**Proposition 20.** Let  $Y \subset B^m$ ,  $m \geq 2$ , be an extractable uniform code, and let  $Z$  be a code.

Assume that  $Y$  and  $Z$  are composable, and put  $X = Y \circ Z$ . Then

- (1) If  $Z$  is an intercode of index  $n$ , and if  $m \geq n$ , then  $X \bullet_Z X$  is extractable.
- (2) If  $Z$  is a  $J2_a$ -code such that  $Z^n \cap A^+ Z^n A^+ = \emptyset$ , and if  $m \geq n$ , then  $X \bullet_Z X$  is extractable.
- (3) If  $Z$  is an infix  $Jn_a$ -code such that  $m \geq n$ , then  $X \bullet_Z X$  is extractable.
- (4) If  $Z$  is an  $I2$ -code such that  $Z^n \cap A^+ Z^n A^+ = \emptyset$ , and if  $m \geq n$ , then  $X \bullet_Z X$  is extractable.
- (5) If  $Z$  is an infix  $In_a$ -code such that  $m \geq n$ , then  $X \bullet_Z X$  is extractable.
- (6) If  $Z$  is an  $I2_a$ -code such that  $Z^n \cap A^+ Z^n A^+ = \emptyset$ , and if  $m \geq n$ , then  $X \bullet_Z X$  is extractable.
- (7) If  $Z$  is an infix  $In_b$ -code such that  $m \geq n$  and  $b^m \notin Y$  for all  $b \in B$ , then  $X \bullet_Z X$  is extractable.

### 3 SOME RELATED REMARKS

There are not a few examples in which for a bifix code  $Z$  and some suitable integer  $n$  the code  $Z^n$  becomes an extractable code. However there exists a code  $Z$  such that  $Z^n$  is not extractable for any  $n \geq 1$ .

**Example 7.** A reflective code  $Z$  is extractable if and only if the following condition holds:

For any  $[x], [y] \in A^*/P_{C^*}^{(r)}$

$$\text{Cont}_{C^*}^{(r)}(x) \cap \text{Cont}_{C^*}^{(r)}(y) \neq \emptyset \implies [x] = [y].$$

That fact has already been shown in [5, Proposition 8]. Let  $Z = Cl((ab)^2 a)$ , and  $n$  be an arbitrary integer. Then  $ab \in \text{Cont}_Z^{(r)}(aba) \cap \text{Cont}_Z^{(r)}(aab)$  and  $ba \in \text{Cont}_Z^{(r)}((aba) - \text{Cont}_Z^{(r)}((aab))$ .

Therefore  $Z^n$  is not extractable for  $n = 1$ . For  $n \geq 2$ , we have

$$(ababa)^n \in Z^n, aab(ababa)^n ba(ababa)^{n-1} = (aabab)(abaab)^{n-1}(ababa)^n \in (Z^n)^2.$$

However,  $aabba(ababa)^{n-1} \notin (Z^n)^*$ . Therefore  $Z^n$  is not an extractable code for any  $n \geq 1$ .

Let  $C \subset A^*$  be a code, and let  $u, v \in CA^-$ . We write  $[u] \downarrow [v]$  if  $\text{Cont}_{C^*}^{(r)}(v)$  is not contained in  $\text{Cont}_{C^*}^{(r)}(u)$ . If  $[v]_{C^*} = [w_\emptyset]_{C^*}$ , then  $\text{Cont}_{C^*}^{(r)}(v) = \emptyset$ . In this case,  $\text{Cont}_{C^*}^{(r)}(v)$  is contained in  $\text{Cont}_{C^*}^{(r)}(u)$  for any  $u \in A^*$ . Therefore, if  $[u] \downarrow [v]$  for some  $u \in A^*$ , the set  $\text{Cont}_{C^*}^{(r)}(v)$  is not the emptyset.

**Proposition 21.** Let  $Z$  be an infix code. If there exist  $z \in Z$  and  $[u], [v] \in A^*/P_{Z^*}^{(r)}$  such that  $[uz] = [v]$ ,  $[u] \downarrow [v]$ , and  $[wzz] = [wz]$  for any  $w \in A^*$ , then  $Z^n$  is not extractable for any  $n \geq 1$ .

For a prefix code  $C$  we defined the automaton  $\mathcal{A}(C^*) = (A^*/P_{C^*}^{(r)}, A, \delta, [1], \{\{1\}\})$ , where  $\delta$  is the transition function such that  $\delta([w], x) = [wx]$  for  $[w] \in A^*/P_{C^*}^{(r)}$  and  $x \in A$ . This automaton is  $[0]$ -transitive (for definition, see [4, p.213]). For each  $x \in A^*$  the transformation  $t(x)$  on the state set  $A^*/P_{C^*}^{(r)}$  is defined by  $t(x) : [w] \rightarrow [wx]$ ,  $[w] \in A^*/P_{C^*}^{(r)}$ . The monoid  $T(C^*) = \{t(x) \mid x \in A^*\}$  is called the *transition monoid* of the automaton  $\mathcal{A}(C^*)$ .  $T(C^*)$  is isomorphic to the *syntactic monoid* of  $C^*$  (e.g., see [1] or [4]). Let  $S_{[1]} = \{t(w) \mid w \in C^*\}$ . Then  $S_{[1]}$  is the *stabilizer* of a state  $[1]$  in the automaton  $\mathcal{A}(C^*)$ . If  $t(w) \in S_{[1]}$ , and if  $t(w)([u]) = [w_\emptyset]$  for all  $[u] \in A^*/P_{C^*}^{(r)} - \{[1]\}$ , then  $t(w)$  is called the *zero-element* of  $S_{[1]}$ . By  $0_1$  we denote the zero element of  $S_{[1]}$ .

Let  $T(Z^*)$  be the transition monoid of the automaton  $\mathcal{A}(Z^*)$ , and let  $z \in Z$ . The condition that  $[wzz] = [wz]$  for all  $w \in A^*$  means that the transformation  $t(z)$  is an idempotent. Therefore, if there exists an idempotent  $t(z)$ ,  $z \in Z$ , such that  $t(z)([u]) = [v]$  for some  $u, v \in ZA^-$  with  $[u] \downarrow [v]$ , then, by Proposition 19,  $Z^n$  is not extractable for all  $n \geq 1$ .

**Example 8.** Let  $C = \{a^3, a^2b, aba, b^2\}$ . Then  $A^*/P_{C^*}^{(r)} = \{1, 2, 3, 4, 5, 0\}$ , where  $1 = [1]$ ,  $2 = [a]$ ,  $3 = [a^2]$ ,  $4 = [ab]$ ,  $5 = [b]$ ,  $0 = [ba]$ . The following figure is the tree of  $C$ .

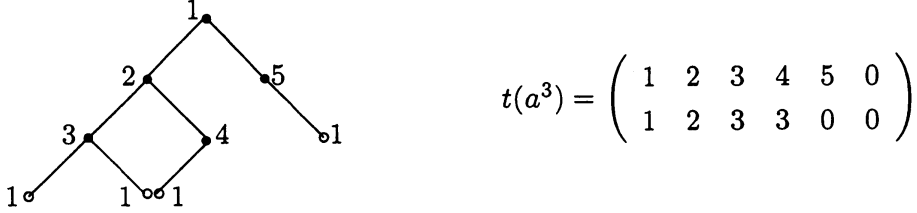


Fig. 5.

$$t(a^3) = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 0 \\ 1 & 2 & 3 & 3 & 0 & 0 \end{pmatrix}$$

The transformation  $t(a^3)$  is an idempotent, and  $4 \downarrow 3$  since  $\text{Cont}_C^{(r)}(a^2) = \{a, b\}$  and  $\text{Cont}_C^{(r)}(ab) = \{a\}$ . Thus  $C^n$  is not extractable for any  $n \geq 1$ .

**Remark 4.**  $T(C^*)$  is generated by the set  $\{t(a) \mid a \in A\}$ . For  $z = a_1a_2 \cdots a_n \in C$ ,  $a_i \in A$ ,  $1 \leq i \leq n$ , we normally gain  $t(z)$  by computing the products  $t(a_1)t(a_2) \cdots t(a_n)$  of transformations. Without such computation, however, we can obtain  $t(z)$  directly by using the tree of  $C$ . For instance, in Example 8, from the tree of  $C$  (Fig. 5) we have

$1 \xrightarrow{aba} 1$ ,  $0 \xrightarrow{aba} 0$ ,  $2 \xrightarrow{a} 3 \xrightarrow{b} 1 \xrightarrow{a} 2$ ,  $3 \xrightarrow{a} 1 \xrightarrow{b} 5 \xrightarrow{a} 0$ ,  $4 \xrightarrow{a} 1 \xrightarrow{b} 5 \xrightarrow{a} 0$ ,  $5 \xrightarrow{a} 0 \xrightarrow{ba} 0$ . Therefore,

$$t(aba) = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 0 \\ 1 & 2 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

Lastly we present a characterization of an intercode  $Z$  by using transformations in  $T(Z^*)$ .

**Proposition 22.** A code  $Z \subset A^*$  is an intercode of index  $n$  if and only if  $t(w) = 0_1$  holds for all  $w \in Z^n$ .

**Corollary 23.** Let  $Z \subset A^*$  be a code, and let  $T(Z^*)$  be the transition monoid of the automaton  $\mathcal{A}(Z^*)$ . If the subset  $t(Z)$  of  $T(Z^*)$  contains an idempotent which is neither the identity of  $T(Z^*)$  nor the element  $0_1$  of  $T(Z^*)$ , then  $Z$  is not an intercode.

**Corollary 24.** Let  $Z \subset A^*$  be an infix code. The following conditions are equivalent:

- (1)  $Z$  is an *In*-code.
- (2)  $Z$  is an intercode of index  $n$ .
- (3)  $t(w) = 0_1$  holds for all  $w \in Z^n$ .

As an elementary consequence of Proposition 22 we have the following assertion:

**Example 9.** The code  $Z$  is comma-free if and only if  $t(Z) = \{0_1\}$ .

**Example 10.** We show that  $Z = \{a^2bab, acbab, bab, cac\}$  is an intercode of index 4:

The tree of  $Z$

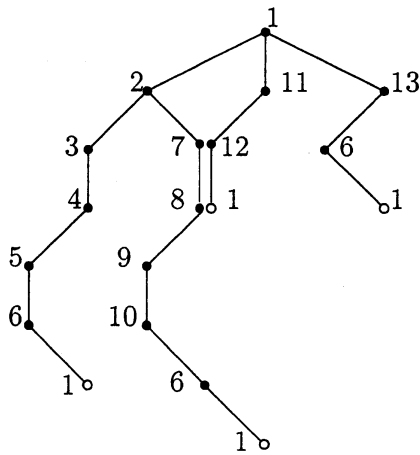


Fig. 6.

$$t(a^2bab) = t(acbab) = 0_1.$$

$$x = t(bab) = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 0 \\ 1 & 0 & 6 & 0 & 0 & 0 & 10 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

$$y = t(cac) = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 0 \\ 1 & 0 & 0 & 0 & 0 & 7 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

$$xy = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 0 \\ 1 & 0 & 7 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

$$yx = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 0 \\ 1 & 0 & 0 & 0 & 0 & 10 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

Thus  $t(Z^2) = \{0_1, xy, yx\}$ . Since

$$x \cdot yx = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 0 \\ 1 & 0 & 10 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} \quad \text{and} \quad y \cdot t(Z^2) = \{0_1\},$$

we have  $t(Z^3) = \{xyx, 0_1\}$ . Since  $t(w)(10) = 0$  for all  $w \in Z$ , we have  $t(Z^4) = t(Z^3)t(Z) = \{0_1\}$ . Thus  $Z$  is an intercode of index 4.

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